

# Moduli spaces of vector bundles on curves

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## Aim

- *Define vector bundles, relate them to locally free sheaves*
- *Study line bundles on smooth projective curves*
- *Define Hilbert schemes*
- *Discuss semistability*
- *Construct moduli spaces*
- *Study properties of moduli spaces*

We follow Part I of J. Le Potier: Lectures on Vector Bundles.

## Definition

Let  $X$  be a connected  $\mathbb{C}$ -variety.

- A linear fibration on  $X$  is a map  $p : E \rightarrow X$  of varieties, together with a vector space structure on every fibre  $p^{-1}(x) =: E_x$ .
- A map of linear fibrations  $p : E \rightarrow X, p' : E' \rightarrow X$  is a map  $\varphi : E \rightarrow E'$  such that  $p'\varphi = p$  and  $\varphi : E_x \rightarrow E'_x$  linear for all  $x \in X$ .
- $V$  fin.dim.  $\mathbb{C}$ -vector space:  $\text{pr}_1 : X \times V \rightarrow X$  trivial fibration.
- A vector bundle on  $X$  is a linear fibration which is locally isomorphic to a trivial one. That is: exists open covering  $X = \bigcup_i U_i$  such that  $U_i \times V \simeq p^{-1}(U_i)$  for all  $i$ .
- Its rank  $\text{rk}(E) = \dim V$ .

# The category of vector bundles

One can define direct sums, subbundles, quotient bundles of vector bundles. But in general we don't have kernels, images, cokernels.

## Example

$X = \mathbb{A}^1$ ,  $V \neq 0$  vector space

$$\varphi : X \times V \rightarrow X \times V, \quad (\lambda, v) \mapsto (\lambda, \lambda v).$$

Kernel is a linear fibration  $E$  with  $E_0 = V$  and  $E_\lambda = 0$  for  $\lambda \neq 0$ .

## Theorem

*The category of vector bundles on  $X$  is equivalent to the category of locally free (coherent) sheaves on  $X$ .*

Idea of proof: associate to the vector bundle  $p : E \rightarrow X$  its sheaf of sections

$$U \mapsto \Gamma(U, E) := \{s : U \rightarrow E \mid p \circ s = \text{id}_U\},$$

which is a  $\mathcal{O}_X(U)$ -module. This sheaf is locally isomorphic to  $\mathcal{O}_X \otimes V$ .

From now on  $X$  is a smooth projective curve. In the  $\mathbb{C}$ -topology, it is a compact Riemann surface of some genus  $g \geq 0$ .

Line bundles, that is, vector bundles of rank 1 form group under  $\otimes$ , the Picard group  $\text{Pic}(X)$  of  $X$ . It is isomorphic to the group  $\text{Div}(X)$  of divisors modulo linear equivalence. We have an exact sequence

$$1 \rightarrow \text{Jac}(X) = \text{Pic}^0(X) \rightarrow \text{Pic}(X) \simeq \text{Div}(X) \xrightarrow{\text{deg}} \mathbb{Z} \rightarrow 1$$

where  $\text{deg} \sum_{x \in X} \lambda_x x = \sum_x \lambda_x$ , and  $\text{Jac}(X)$  is an abelian variety, topologically isomorphic to  $\mathbb{C}^g / \mathbb{Z}^{2g}$ .

We can generalize the degree  $\text{deg}$  to arbitrary rank bundles by  $\text{deg}(E) := \text{deg}(\wedge^{\text{rk} E} E)$ .

## Theorem

*Topologically, rank and degree are the only invariants of a vector bundle on  $X$ .*

# Hilbert polynomials of coherent sheaves

The given embedding  $X \subset \mathbb{P}^n$  induces line bundle  $\mathcal{O}_X(1)$ . Let  $\mathcal{F}$  be a coherent sheaf on  $X$  (it is then isomorphic to the direct sum of a locally free sheaf and a torsion sheaf, concentrated on finitely many points of  $X$ ). Has coherent cohomology  $H^i(X, \mathcal{F})$  for  $i = 0, 1$ , Euler characteristic  $\chi(\mathcal{F}) = \dim H^0(X, \mathcal{F}) - \dim H^1(X, \mathcal{F})$ .  
Twist of coherent sheaf:  $\mathcal{F}(n) := \mathcal{F} \otimes \mathcal{O}_X(1)^{\otimes n}$ .

## Fact

*Exists Hilbert polynomial  $P(t) \in \mathbb{Z}[t]$  such that  $P(n) = \chi(\mathcal{F}(n))$  for all  $n$ . Namely,  $P(t) = \text{rk}(\mathcal{F}) \deg(\mathcal{O}_X(1))t + \chi(\mathcal{F})$ .*



## Theorem

*Take  $\mathcal{E}$  locally free,  $P$  linear polynomial. Exists projective variety  $\text{Hilb}^P(\mathcal{E})$  parametrizing quotients of  $\mathcal{E}$  with Hilbert polynomial  $P$ .*

Sketch of proof: One can choose  $N$  large enough such that the following holds:

given a quotient  $\mathcal{E}/\mathcal{F}$ , the subspace  $H^0(X, \mathcal{F}(N)) \subset H^0(X, \mathcal{E}(N))$  has codimension  $P(N)$  and determines  $\mathcal{E}/\mathcal{F}$  as cokernel of  $H^0(X, \mathcal{F}(N)) \otimes \mathcal{O}_X(-N) \rightarrow \mathcal{E}$ .

In this way, we realize  $\text{Hilb}^P(\mathcal{E})$  as closed subvariety of Grassmannian  $\text{Gr}^{P(N)}(H^0(X, \mathcal{E}(N)))$ .

## Definition

- Slope of  $0 \neq E$  is  $\mu(E) = \deg(E)/\text{rk}(E)$ .
- $E$  is called semistable if  $\mu(F) \leq \mu(E)$  for all subbundles  $0 \neq F \subset E$ .
- $E$  is called stable if  $\mu(F) < \mu(E)$  for all proper subbundles  $0 \neq F \subset E$ .

## Fact

- *Semistable bundles of a fixed slope form an abelian finite length category.*
- *In particular, its simple/irreducible objects are the stables of that slope, and any semistable admits Jordan-Hölder type filtration by stables of same slope.*
- *An arbitrary vector bundle admits a unique Harder-Narasimhan filtration: a filtration with semistable subquotients of decreasing slope.*

# Reminder on GIT and quotients

Let  $G$  be a linearly reductive group, acting linearly on some vector space  $Z$ , and assume  $Y \subset \mathbb{P}(Z)$   $G$ -stable closed subvariety. Homogeneous coordinate ring  $\mathbb{C}[Y] = \mathbb{C}[Z]/\mathbb{V}(Y)$  contains (graded, finitely generated) ring of  $G$ -invariants  $\mathbb{C}[Y]^G$ .

## Definition

$y \in Y$  semistable if  $f(y) \neq 0$  for some  $0 \neq f \in \mathbb{C}[Y]^G$  of positive degree.

## Theorem

*Then  $Y^{\text{sst}} \rightarrow Y^{\text{sst}}//G := \text{Proj}(\mathbb{C}[Y]^G)$  is a categorical quotient: it is surjective and every fibre contains a unique closed  $G$ -orbit in  $Y^{\text{sst}}$ . That is:  $Y^{\text{sst}}//G$  parametrizes semistable closed  $G$ -orbits in  $Y$ .*

# An example of semistability

Semistability can be verified numerically using the Hilbert-Mumford criterion. We will only see this in an example:

$SL(V)$  acts linearly on  $V$ , thus on any  $V \otimes W$ , thus on any  $\bigwedge^p(V \otimes W)$ , thus on

$$\mathrm{Gr}^p(V \otimes W) \subset \mathbb{P}(\bigwedge^p(V \otimes W)).$$

Then  $U \subset V \otimes W$  defines a semistable point iff for all proper non-zero  $V' \subset V$ , we have

$$\frac{\dim U \cap (V' \otimes W)}{\dim V'} \leq \frac{\dim U}{\dim V}.$$

# Construction of moduli spaces of vector bundles

Finally we can construct a moduli space for vector bundles of rank  $r \in \mathbb{N}$  and degree  $d \in \mathbb{Z}$  on  $X$ , more precisely a variety whose points parametrize semistable such vector bundles up to Jordan-Hölder equivalence (we can't do better).

Tensoring with a degree 1 line bundle induces bijection between isoclasses of (semistable) bundles of rank  $r$ , degree  $d$  and bundles of rank  $r$ , degree  $d + r$ . We can thus choose wlog  $d$  arbitrarily large. In fact – after many subtle and delicate numerical considerations which we have to omit completely – so large that: every rank  $r$  degree  $d$  bundle is then a quotient of  $\mathcal{O}_X \otimes V$  (for a certain  $V$  of dimension  $\chi = d + r(1 - g)$ ) with Hilbert polynomial  $rt + \chi$ .

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And (for  $N$  large enough)

$$\text{Hilb}^{rt+\chi}(\mathcal{O}_X \otimes V) \subset \text{Gr}^{rN+\chi}(V \otimes H^0(X, \mathcal{O}_X(N))).$$

A bundle is semistable (by Hilbert-Mumford criterion) iff the corresponding subspace  $U$  in the Grassmannian is semistable for the  $\text{SL}(V)$ -action. Two bundles are isomorphic iff the corresponding subspaces in the Grassmannian are conjugate under  $\text{SL}(V)$ . Thus we finally define:

## Definition

$$M(r, d) := \text{Hilb}^{rt+\chi}(\mathcal{O}_X \otimes V)^{\text{sst}} // \text{SL}(V).$$

## Theorem

Assume  $g \geq 2$ .

- $M(r, d)$  parametrizes semistable rank  $r$  degree  $d$  bundles on  $X$  up to Jordan-Hölder equivalence.
- Exists open subset  $M^s(r, d)$  parametrizing stable bundles up to isomorphism.
- $M^s(r, d) \neq \emptyset$  for all  $r$  and  $d$ .
- $M(r, d)$  is irreducible of dimension  $(g - 1)r^2 + 1$ .
- $M(r, d)$  is smooth if  $\gcd(r, d) = 1$ .

Thank you!